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POLICY TOWARD NEUTRAL POWERS AND OTHER PROBLEMS
DRAFT
FOREIGN MINISTRY
TOP SECRET
PP.73-82 1001 SUMMARIZED PLAN FOR MANAGEMENT
OF THE
SOUTH SEA AREA

December 14 SHOWA 16/1941/

1. The purpose and basic policy.

Our purpose is to guarantee the security of the Empire and establish the organization necessary for projecting the construction of Greater East Asia Co-Prosperity Sphere in which the Empire is the leading power.

The following are the basic policies of a concrete plan for this purpose:

a. Acquisition and utilization of military bases, the rights to station troops (including naval forces), cooperative defense - these military demands indispensable for the national defense of the Empire and the safe-guarding of East Asia must be secured.

b. To secure the demands for acquisition of the resources for national defense, such as, petrol, tin and rubber.

Securing the demand for tightening of economic cooperation in the Greater East Asia Co-Prosperity Sphere.

c. Severing the political shackles of Europe and America in the South Sea Area, concurrently respecting to the utmost the desire of the southern people for emancipation and independence, but the procedure such as incorporation of any region into the Imperial territory or establishment of a protectorate must be resolutely carried out when that is considered proper from the standpoint of the absolute necessity for the national defense of the Empire, the degree of stupidity of the natives and other conditions.

2. Summarized plan for management of the Philippines.

a. The Philippines shall quickly become independent.

b. Make them promise to offer the use of military bases and the other necessary military cooperation to Japan.

- c. Make an agreement of the close diplomatic collaboration with the Empire.
- d. Make them promise a close economic collaboration with the Empire.

3. Summarized Plan of Treatment of Dutch Indies.

- a. Make the Dutch Indies independent as "The Indonesian Federation."
- b. Make them promise the establishment of Japanese military bases.
Make them promise to cooperate with Japan on other points also.
With regard to their diplomatic policies, make them promise to act hand-in-hand with Japan. Concerning their military diplomacy, Japan shall participate in their doings. The method of participation shall be decided separately.
- c. Make them promise a close economic collaboration with Japan in the development and utilization of their natural resources, etc.
- d. Make States of the following three districts, which are capable of constructing self-government, and make a federation of the States: Java (including Madura, Bali and Lombok); Sumatra; Celebes (excluding Timor, Bali, and Lombok, and including the Lesser Sunda Islands and the Molucca Islands).
- e. The following districts which are, at present, incapable of self-government, shall be made dominions of the Federal States, but their control shall be entrusted to Japan: Dutch Borneo, Dutch New Guinea, Dutch Timor.
- f. Owing to military and transportation requirements, the Riouw and Lingga Islands in the Singapore Straits, and the Anambas and Natuna Islands in the Borneo Straits shall be organized as Japanese territory and a Japanese Governor-General at Singapore shall administer them.

4. Summarized Plan of treatment of British Malaya.

- a. Singapore and the other Straits Settlements.
 - 1. They shall be organized as Japanese territory and a Japanese Governor-General at Singapore shall administer them. However, for geographical reasons, Labuan Island shall be organized as part of Borneo, which is under the direct control of Japan, for administration.

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- b. The Federated States and the non-Federated States of Malaya.
 - 1. As a rule, make all of the States protectorates of Japan and recognize them as kingdoms as has been generally the situation in the past.
 - 2. Make the present Federation dismember itself and organize a new federation chiefly consisting of the former Federated and non-Federated States.
 - 3. Establish Japan's right of protectorate over the Federation as well as its component states by a treaty of protection, and have a Japanese Governor-General resident in Singapore to direct them and control their administration.
 - 4. The Kingdom of Brunei, one of the former non-Federated States, is not to be included in the Malay Federation, but is to be made a separate Japanese protectorate because of its location in Borneo.
 - 5. The treatment of the States of Perlis, Kedah, Kelantan and Trengganu, which are regions lost by Thailand/Siam, shall be considered separately.
- 5. Summary plan of the treatment of British North Borneo, Sarawak and Brunei.
 - a. British North Borneo shall be organized as the Japanese territory and shall be governed by a Japanese Governor-General in Singapore.
 - b. Sarawak, abolishing its English monarchy, shall be organized as Japanese territory and shall be governed by a Japanese Governor-General at Singapore.
 - c. Make Brunei a Japanese protectorate and recognize it as a kingdom, generally the same position it had before. Establish the Japanese right of protectorate by a treaty of protection, and have a Japanese Governor-General resident in Singapore direct and control its administration.
- 6. Summary plan of the disposition of Hongkong.
 - a. Reversion of Hongkong shall be decided contingent upon the settlement of the China Incident.

Note: The management of the areas not mentioned in the above plan shall be considered separately when necessary according to the changes of the situation hereafter.

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C E R T I F I C A T E

W.D.C. No. _____
I.P.S. No. 1112A

Statement of Source and Authenticity

I, HAYASHI Kaoru - hereby certify that I am officially connected with the Japanese Government in the following capacity: Chief, Archives Section, Foreign Office and that as such official I have custody of the document hereto attached consisting of 193 pages described as follows: File entitled "Policy toward Neutral Powers and Other Problems" I further certify that the attached record and document is an official document of the Japanese Government, and that it is part of the official archives and files of the following named ministry or department (specifying also the file number or citation, if any, or any other official designation of the regular location of the document in the archives or files): Japanese Foreign Office

Signed at Tokyo on this
5th day of November, 1946 /s/ K. Hayashi
Signature of Official SEAL

Witness: /s/ Nagaharu Odo SEAL Chief, Archives Section
Official Capacity

Statement of Official Procurement

I, HENRY SHIMOJIMA, hereby certify that I am associated with the General Headquarters of the Supreme Commander for the Allied Powers, and that the above described document was obtained by me from the above signed official of the Japanese Government in the conduct of my official business.

Signed at TOKYO on this
5th day of November, 1946 /s/ Henry Shinojima
NAME

Witness: /s/ R. H. Larsh Investigator
Official Capacity

中國ニ對スル政策及地、問題

「案」外務省

南方地域處理要綱案

昭和六年十二月十四日

第一、目標及基本方針

帝國存立ヲ確保シ帝國ヲ盟主トスル大東亞共榮圈ノ形成ヲ
固ルニ必要ナル体制ヲ樹立スルコトヲ目標トシ之ヲ爲スル以テ具體方策
策定、基本方針トス。

一、軍事基地ノ獲得利用、駐兵權（艦船部隊駐留權又之）共同
防衛等帝國國防及東亞防衛上絕對必要ナル軍事的要求
ハ之ヲ確保スルコト

二、石油、錫、護謨等國防資源獲得ノ要請ハ之ヲ確保スルコト。
大東亞共榮圈内ニ於テ經濟合作、緊密化ヲ要請ヲ確保スルコト。

三、南方地域ニ對スル欧米諸國ノ政治的霸權ヲ切斷スルコト又南方
諸民族ノ獨立解放ニ對スル要望ヲ未得ル限、尊重スルコト。
但シ帝國國防上ノ絕對必要ニ民、蒙昧ノ程度、其他、實情ヲ
見テ帝國ノ領土ニ編入シ又保護權ノ設定等ヲ行フヲ通算ト
スルモノニ付ハ之ヲ斷行スルコト。

外機密

第二、比律賓處理要綱案

- 一、比律賓ハ速ニ之ヲ獨立セシム。
- 二、帝國ト同ニ軍事上所要ノ軍事協力ヲ約セシム
- 三、外交上緊密ニ連携ヲ協定ス。
- 四、帝國ト緊密ナル經濟合作ヲ約セシム。

第三、蘭印處理要綱案

- 一、蘭印ハハインドネシア聯邦トシテ之ヲ獨立セシム。

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二、帝國、軍事基地設定ヲ承認セシメ、必要ノ軍事協力ヲ約セシム

外交、國々、富國ト相互提携ヲ約セシム

軍事、外交、國々、富國ト相互提携ヲ約セシム

三、帝國ト、内ニ資源、開發利用其地ニ付緊密ナル經濟合作ヲ約セシム

四、自治能力有ル在、三地方州トシ州ヲ以テ聯邦ヲ組織セシム
「マニラ」(「マニラ」)、「ムボ」(「ムボ」)

「モニ」(「モニ」)、「ホ」(「ホ」)、「ホ」(「ホ」)、「ホ」(「ホ」)
列島及「ホ」(「ホ」)群島ヲ含ム

五、現在自治能力有ル在、地方、聯邦、屬領トスル其統治ニテ帝國ニ奉託セシム

蘭領「ボ」(「ボ」)

蘭領「ミ」(「ミ」)

蘭領「モ」(「モ」)

六、新嘉坡水道、「リ」(「リ」)及「リ」(「リ」)兩群島並ニ「ボ」(「ボ」)水道、
「ミ」(「ミ」)及「ミ」(「ミ」)兩群島、軍事及交通上、必要ニ依リテ
帝國領ニ編入シ在新嘉坡帝國總督ヲ以テ之ヲ管轄
セシム

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第四 英領馬來處理要綱案

- 一 新嘉坡其、他、海峽植民地
帝國領土ニ之ヲ編入シ在新嘉坡帝國總督ヲシテ之ヲ管轄セシム
但シ「ラアン」島ハ地理的理由ニ依リ行政區劃上帝國直轄領「ホルネオ」ニ之ヲ編入ス
- 二 馬來聯邦州及非聯邦州
 - (イ) 原則トシテ各州ハニテ帝國保護領トシテ王國トシテ大體從來同様、地位ヲ之ニ認ム
 - (ロ) 現在、聯邦ハ之ヲ解体シ大體從來、聯邦州及非聯邦州ヲ以テ新ナル聯邦ヲ組織セシム
 - (ハ) 聯邦及ニテ構成スル各州ニ對シ保護條約ニ依リ帝國保護權ヲ設定シ在新嘉坡帝國總督ヲシテ統監トシテ其、施政ヲ指導監督セシム
 - (ニ) 從來、非聯邦州、一タル「ブルネイ」王國、「ホルネオ」ニ在ルヲ以テ之ヲ馬來聯邦ニ入ル別個、帝國保護領トス
 - (ホ) 「タム」ノ失地タル「ベリス」「ゲダ」「ゲラニダン」「トシガヌ」諸州、処分ハ別途ニテ考慮ス

第五 英領北「ホルネオ」「サラワク」「ブルネイ」處理要綱案

- 一 英領北「ホルネオ」ハニテ帝國領土ニ編入シ在新嘉坡帝國總督ヲシテ之ヲ管轄セシム
- 二 「サラワク」ハ從來、英人王政ヲ廢シテ帝國領土ニ編入シ在新嘉坡帝國總督ヲシテ之ヲ管轄セシム
- 三 「ブルネイ」ハニテ帝國保護領トシテ王國トシテ大體從來

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同様、地位ヲニ認ム

保護條約ニ依リ帝國、保護權ヲ設定シ在新嘉坡帝國總督ヲシテ統監トシテ其、施政ヲ指導監督セシム

第六 香港處理要綱案

香港、歸屬ハ支那事變、解決ト睨合セテ之ヲ決定ス

備考

本要綱案ニ掲ケタル以前、地域、處理ニ関シハ今後情勢、推移ヲ見必要ニ應ジ別途考慮ス

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證 明 書

「ワシントン」文書局 第 一 一 一 二 A 號
國 際 檢 察 部

典 據 及 ビ 公 正 ニ 關 ス ル 證 明

余、林縣ハ余ガ下記ノ資格ニ於テ、即チ外務省文書
課長トシテ、日本政府ト公的関係ニ在ルモノナルコ
ト、竝ニ該官吏トシテ余ガ茲ニ添附セラレタル、一
九三頁ヨリ成ル。下記題名即チ、中立國對策其ノ他
ノ文書ノ保管ニ任ジ后ルコトヲ茲ニ證明ス。
余ハ更ニ添附ノ記録及ビ文書ガ日本政府ノ公文書ナ
ルコト、竝ニ右ガ下記名稱ノ省又ハ部局ノ公式書類
及ビ綴ノ一部ナルコトヲ證明ス。(若シアラバ綴番
號又ハ引用、其ノ他公式書類又ハ綴ニ於ケル該文書
ノ成規所在ノ公式名稱ヲモ特記スベシ) 外務省

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千九百四十六年／昭和二十一年／十一月五日

東京ニ於テ署名

當該官吏署名欄

右ノ者ノ公的資格

證人

林

文

尾

澤

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(署名捺印)

長

春 (署名捺印)

公式入手ニ關スル證明

余、ヘンリー シモジマ HENRY SHIMOJIMA ハ、余ガ聯合國最高指揮官總司令部ニ關係アルモノナルコト、竝ニ上記題名ノ文書ハ余ガ公務上、日本政府ノ上記署名官吏ヨリ入手シタルモノナルコトラ茲ニ證明ス。

千九百四十六年／昭和二十一年／十一月五日

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